



## Compliance Officer

WORK WITH A GLOBAL TEAM BASED IN PARADISE

### The Company

TI Securities Limited is a regulated securities dealer based in Port Vila, Vanuatu. The company operates the Titan FX brand offering forex and CFD brokerage to traders globally. Titan FX differentiates itself from other brokers by investing in product development and outstanding customer service rather than sales and advertising.

Titan FX prides itself in offering employee training and career development opportunities as well as flexible working conditions.

### The Opportunity

Based in the Port Vila office, and reporting to the Managing Director, the Compliance Officer is responsible for implementation of the company's AML, KYC and CTF compliance monitoring program.

Day to day tasks will include checking the identity and background of new and existing clients and monitoring for suspicious transactions in accordance with the compliance monitoring program. You'll have some contact (mostly over email) with clients to satisfy the requirements of the program. Additionally you'll prepare reports to management and regulating bodies as required.

Some overseas travel to other Titan FX and partner offices may be required.

### Job Responsibilities

- Acting in an advisory, monitoring and control capacity
- Performing monitoring and surveillance functions in accordance with the compliance monitoring program (i.e. a checklist of regulatory obligations) and Anti-Money Laundering and Counter-Terrorism Financing ('AML/CTF') Program to evaluate compliance of the company with its legal and regulatory obligations and the effectiveness of the internal policies and control procedures
- Drafting and/or updating the company's policies and procedures and its governing documents to remain abreast of regulatory changes
- Contributing to the fulfilment of the company's reporting and other obligations towards VFSC and VFIU and preparing reports to the Managing Director on legal and regulatory developments and making recommendations on actions to be taken
- Responding to client enquiries

### Requirements and Criteria

- Degree in law, finance, economics, accounting, business or a related field
- Compliance background
- 1 - 2 years of experience in a similar role within the financial services field
- Good understanding of forex and derivative financial products
- Knowledge of the regulatory environment, including VFSC and VFIU requirements
- Proficiency in English essential; other languages will be a strong advantage